## **United States Bankruptcy Court Southern District of New York**

In re: Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (SCC)

## TRANSFER OF CLAIMS OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001 (e) (2), Fed. R. Bankr. P., of the transfer, other than for security, of the claims referenced in this evidence and notice.

| Värde Investment Partners, L.P.   | Citigroup Financial Products Inc.                          |
|---|--|
| Name of Transferee  | Name of Transferor   |
| Name and Address where notices to transferee should be  | Court Claim No.: 15612                                     |
| sent:   |  |
|   | Amount of Claim Transferred: \$11,710,225.58, plus all     |
| 8500 Normandale Lake Boulevard  | interest, fees and other recoveries due.                   |
| Suite 1500<br>Minneapolis, MN 55437   |  |
| Attn: Edwina P.J. Steffer   | Date Claim Filed: September 17, 2009                       |
| e-mail: esteffer@varde.com  |  |
| V 3345, V314, V1 (3) VIII VVI V311  | Phone:   |
| Last Four Digits of Acct. #:  | Last Four Digits of Acct. #:                               |
|   |  |
| I declare under penalty of perjury that the information pro knowledge and belief.   | vided in this notice is true and correct to the best of my |
| VÄRDE INVESTMENT PARTNERS, L.P.   |  |
| By Värde Investment Partners G.P., LLC,<br>Its General Partner<br>By Värde Partners, L.P.,<br>Its Managing Member<br>By Värde Partners, Inc., | •  |
| Its General Partner   |  |
| By: AWH ALL   | Date; 3-12-15  |
| Name: Scott T. Hartman  |  |
| Title: Managing Director  |  |

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

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## **United States Bankruptcy Court Southern District of New York**

In re: Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (SCC)

## TRANSFER OF CLAIMS OTHER THAN FOR SECURITY

CLAIM No. 15612 was filed or deemed filed under 11 U.S.C. § 1111(a) in this case by the alleged transferor. As evidence of the transfer of the claim, the transferee filed a Transfer of Claims other than for Security in the Clerk's office of this court on

| Citigroup Financial Products Inc. Name of Alleged Transferor   | Värde Investment Partners, L.P. Name of Transferee   |  |
|--|--|--|
| Address of Alleged Transferor: 390 Greenwich Street 4th Floor New York, NY 10013 Attn: Kenneth Keeley  | Address of Transferee 8500 Normandale Lake Boulevard Suite 1500 Minneapolis, MN 55437 Attn: Edwina P.J. Steffer E-mail: esteffer@varde.com |  |
|  |  |  |
|  |  |  |
| DEADLINE TO OBJECT TO TRANSFER   |  |  |
| The alleged transferor of the claims is hereby notified that objections must be filed with the court within twenty-one (21) days of the mailing of this notice. If no objection is timely received by the court, the transferee will be substituted as the original claimant without further order of the court. |  |  |
| Date:  | OVERNA OR WATER GOVERN   |  |
|  | CLERK OF THE COURT   |  |

**Execution Copy** 

| UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK   |  |  |
|--|--|--|
| In re:  LEHMAN BROTHERS  HOLDINGS, INC., et al.,  Debtors  | Case No. 08-13555  Chapter 11  Jointly Administered  |  |
|  | TIAL TRANSFER OF<br>NT TO RULE 3001(e)   |  |
| Citigroup Financial Products Inc., its successors and assigns ("Assignor"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned unto Värde Investment Partners, L.P., its successors and assigns ("Assignee"), all rights, title and interest in and to Proof of Claim Number 15612, in the allowed amount of \$11,710,225.58 (the "Assigned Claim") against the Debtor in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.  Assignor hereby waives any notice or hearing requirements imposed by Rule 3001 of the Bankruptcy Rules, and stipulates that an order may be entered recognizing this Assignment as an unconditional assignment and the Assignee herein as the valid owner of the Transferred Claim. You are hereby requested to make all future payments and distributions, and to give all notices and other communications, in respect of the Transferred Claim to the Assignee. |  |  |
| ASSIGNOR: CITIGROUP FINANCIAL PRODUCTS INC.  | ASSIGNEE: VÄRDE INVESTMENT PARTNERS, L.P.  By: Värde Investment Partners G.P.,   |  |
|  | LLC, Its General Partner  By: Värde Partners, L.P., Its Managing  Member  By: Värde Partners, Inc., Its General  Partner |  |
| Address: 390 Greenwich Street, 4th Floor New York, NY 10013  Signature: Name: Title: Date:  Brian S. Broyles Authorized Signatory  3-11-15   | Address: 8500 Normandale Lake Blvd, Suite 1500, Minneapolis, MN 55437  Signature: Name: Title: Date:                     |  |

**Execution Copy** 

| UNITED STATES BANKRUPTCY COURT<br>SOUTHERN DISTRICT OF NEW YORK   |   |  |
|---|---|--|
| In re:  LEHMAN BROTHERS  HOLDINGS, INC., et al.,  | Case No. 08-13555  Chapter 11  Jointly Administered   |  |
|   | TAL TRANSFER OF<br>IT TO RULE 3001(e)   |  |
| Citigroup Financial Products Inc., its successors and assigns (" <u>Assignor</u> "), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned unto Värde Investment Partners, L.P., its successors and assigns (" <u>Assignee</u> "), all rights, title and interest in and to Proof of Claim Number 15612, in the allowed amount of \$11,710,225.58 (the " <u>Assigned Claim</u> ") against the Debtor in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor. |   |  |
| Assignor hereby waives any notice or hearing requirements imposed by Rule 3001 of the Bankruptcy Rules, and stipulates that an order may be entered recognizing this Assignment as an unconditional assignment and the Assignee herein as the valid owner of the Transferred Claim. You are hereby requested to make all future payments and distributions, and to give all notices and other communications, in respect of the Transferred Claim to the Assignee.  |   |  |
| ASSIGNOR: CITIGROUP FINANCIAL PRODUCTS INC.   | ASSIGNEE: VÄRDE INVESTMENT PARTNERS, L.P.   |  |
|   | <ul> <li>By: Värde Investment Partners G.P.,</li> <li>LLC, Its General Partner</li> <li>By: Värde Partners, L.P., Its Managing</li> <li>Member</li> <li>By: Värde Partners, Inc., Its General</li> <li>Partner</li> </ul> |  |
| Address: 390 Greenwich Street, 4th Floor<br>New York, NY 10013  | Address: 8500 Normandale Lake Blvd,<br>Suite 1500, Minneapolis, MN 55437  |  |
| Signature: Name: Title: Date:   | Signature: Name: Title: Date:  Scott T. Hartman Managing Director 3-11-15   |  |